

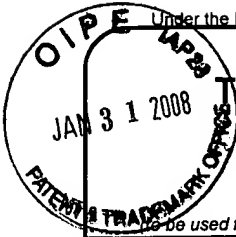
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PTO/SB/21 (01-08)

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11

Application Number 09/923,035

Filing Date August 6, 2001

First Named Inventor John Nafeh

Art Unit 3692

Examiner Name Elda G. Milef

Attorney Docket Number PA3817US

ENCLOSURES (Check all that apply)

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Firm Name	Carr & Ferrell LLP Customer No. 22830		
Signature	<i>K. Brian Bathurst</i>		
Printed name	K. Brian Bathurst		
Date	January 28, 2008	Reg. No.	51,442

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IN THE UNITED STATES PATENT AND TRADEMARK
OFFICE

APPLICANTS: John Nafeh and Kenton K. Yee
SERIAL NO.: 09/923,035
FILING DATE: August 6, 2001
TITLE: Methods and Apparatus for Formulation, Initial Public or Private
Offering, and Secondary Market Trading of Risk Management
Contracts
EXAMINER: Milef, Elda G.
ART UNIT: 3692
CONF. NO.: 8692
ATTY.DKT.NO.: PA3817US

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RESPONSE TO INTERVIEW SUMMARY

Dear Examiner Milef:

In response to the Interview Summary mailed on December 28, 2007, the
following amendments, interview substance, and remarks are provided: